

Community-Based Participatory Research for Health Advancing Social and Health Equity

Community-based partici W84.3 W198C 2018



B0031225 bib:13399116899 a Wallerstein | Bonnie Duran in Oetzel | Meredith Minkler Copyright © 2018 by John Wiley & Sons, Inc. All rights reserved.

Published by Jossey-Bass

A Wiley Brand

One Montgomery Street, Suite 1000, San Francisco, CA 94104-4594—www.josseybass.com

Edition History

John Wiley and Sons, Inc. (2e, 2008; 1e, 2003)

The right of Nina Wallerstein, Bonnie Duran, John Oetzel, and Meredith Minkler to be identified as the authors of the editorial material in this work has been asserted in accordance with law.

No part of this publication may be reproduced, stored in a retrieval system, or transmitted in any form or by any means, electronic, mechanical, photocopying, recording, scanning, or otherwise, except as permitted under Section 107 or 108 of the 1976 United States Copyright Act, without either the prior written permission of the publisher, or authorization through payment of the appropriate per-copy fee to the Copyright Clearance Center, Inc., 222 Rosewood Drive, Danvers, MA 01923, 978-750-8400, fax 978-646-8600, or on the Web at www.copyright.com. Requests to the publisher for permission should be addressed to the Permissions Department, John Wiley & Sons, Inc., 111 River Street, Hoboken, NJ 07030, 201-748-6011, fax 201-748-6008, or online at www.wiley.com/go/permissions.

Limit of Liability/Disclaimer of Warranty: While the publisher and author have used their best efforts in preparing this book, they make no representations or warranties with respect to the accuracy or completeness of the contents of this book and specifically disclaim any implied warranties of merchantability or fitness for a particular purpose. No warranty may be created or extended by sales representatives or written sales materials. The advice and strategies contained herein may not be suitable for your situation. You should consult with a professional where appropriate. Neither the publisher nor author shall be liable for any loss of profit or any other commercial damages, including but not limited to special, incidental, consequential, or other damages. Readers should be aware that Internet Web sites offered as citations and/or sources for further information may have changed or disappeared between the time this was written and when it is read.

Jossey-Bass books and products are available through most bookstores. To contact Jossey-Bass directly call our Customer Care Department within the U.S. at 800-956-7739, outside the U.S. at 317-572-3986, or fax 317-572-4002.

Wiley publishes in a variety of print and electronic formats and by print-on-demand. Some material included with standard print versions of this book may not be included in e-books or in print-on-demand. If this book refers to media such as a CD or DVD that is not included in the version you purchased, you may download this material at http://booksupport.wiley.com. For more information about Wiley products, visit www.wiley.com.

Library of Congress Cataloging-in-Publication Data

Names: Wallerstein, Nina, 1953- editor.

Title: Community-based participatory research for health: advancing social and health equity / edited by Nina Wallerstein, Dr.P.H, Professor of Public Health, College of Population Health Director, Center for Participatory Research, University of New Mexico (UNM), Bonnie Duran, Dr.P.H., Associate Professor, University of Washington School of Social Work, Director of the Center for Indigenous Health Research, Indigenous Wellness Research Institute, John G. Oetzel, Professor, Waikato Management School, University of Waikato, Meredith Minkler, Dr.P.H., MPH, Professor, Graduate School, Community Health Sciences, University of California, Berkeley, Professor Emerita, School of Public Health.

Description: Third edition. | Hoboken, NJ: Jossey-Bass & Pfeiffer Imprints, Wiley, [2017] | Includes bibliographical references. |

Identifiers: LCCN 2017018499 (print) | LCCN 2017021064 (ebook) | ISBN 9781119258865 (epdf) | ISBN 9781119258872 (epub) | ISBN 9781119258858 (paperback)

Subjects: LCSH: Public health—Research—Citizen participation. | Public health—Research—Methodology. | Community health services. | BISAC: MEDICAL / Public Health.

Classification: LCC RA440.85 (ebook) | LCC RA440.85 .C65 2017 (print) | DDC 362.1072—dc23

LC record available at https://lccn.loc.gov/2017018499

Cover design: Wiley

Cover image: © MAGNIFIER/Shutterstock

Printed in the United States of America THIRD EDITION

PB Printing SKY10025327_030321

W 198C 2018

W 198C 2018

W 198C 2018

W 198C 2018

CONTENTS

The Editors	xiii
The Contributors	
Preface Camara Phyllis Jones	хххііі
Acknowledgments	xxxvii
PART ONE: INTRODUCTION: HISTORY AND PRINCIPL	.ES
ONE: ON COMMUNITY-BASED PARTICIPATORY RESEARCH Nina Wallerstein, Bonnie Duran, John G. Oetzel, and Meredith Minkler	3
TWO: THEORETICAL, HISTORICAL, AND PRACTICE ROOTS OF CBPR Nina Wallerstein and Bonnie Duran	17
THREE: CRITICAL ISSUES IN DEVELOPING AND FOLLOWING CBPR PRINCIPLES Barbara A. Israel, Amy J. Schulz, Edith A. Parker, Adam B. Becker, Alex J. Allen, III, J. Ricardo Guzman, and Richard Lichtenstein	31
PART TWO: POWER, TRUST, AND DIALOGUE: WORKING WITH DIVERSE COMMUNITIES	
FOUR: UNDERSTANDING CONTEMPORARY RACISM, POWER, AND PRIVILEGE AND THEIR IMPACTS ON CBPR Michael Muhammad, Catalina Garzón, Angela Reyes, and The West Oakland Environmental Indicators Project	47
FIVE: TRUST DEVELOPMENT IN CBPR PARTNERSHIPS Julie E. Lucero, Kathrine E. Wright, and Abigail Reese	61

PART THREE: CBPR CONCEPTUAL MODEL: CONTEXT AND PROMISING RELATIONSHIP PRACTICES

SIX: SOCIO-ECOLOGIC FRAMEWORK FOR CBPR: DEVELOPMENT AND TESTING OF A MODEL	77
Sarah L. Kastelic, Nina Wallerstein, Bonnie Duran, and John G. Oetzel	
SEVEN: YOUTH-LED PARTICIPATORY ACTION RESEARCH (YPAR): PRINCIPLES APPLIED TO THE US AND DIVERSE GLOBAL SETTINGS	95
Emily J. Ozer and Amber Akemi Piatt	
EIGHT: PARTNERSHIP, TRANSPARENCY, AND ACCOUNTABILITY: CHANGING SYSTEMS TO ENHANCE RACIAL EQUITY IN CANCER CARE AND OUTCOMES	107
Eugenia Eng, Jennifer Schaal, Stephanie Baker, Kristin Black, Samuel Cykert, Nora Jones, Alexandra Lightfoot, Linda Robertson, Cleo Samuel, Beth Smith, and Kari Thatcher	
NINE: SOUTH VALLEY PARTNERS FOR ENVIRONMENTAL JUSTICE: A STORY OF ALIGNMENT AND MISALIGNMENT Magdalena Avila, Shannon Sanchez-Youngman, Michael Muhammad, Lauro Silva, and Paula Domingo de Garcia	123
PART FOUR: PROMISING PRACTICES: INTERVENTION DEVELOPMENT AND RESEARCH DESIGN	
TEN: CBPR IN HEALTH CARE SETTINGS Margarita Alegría, Chau Trinh-Shevrin, Bowen Chung, Andrea Ault, Alisa Lincoln, and Kenneth B. Wells	141
ELEVEN: NATIONAL CENTER FOR DEAF HEALTH RESEARCH: CBPR WITH DEAF COMMUNITIES Steven Barnett, Jessica Cuculick, Lori Dewindt, Kelly Matthews, and Erika Sutter	157
TWELVE: CBPR IN ASIAN AMERICAN COMMUNITIES Nadia Islam, Charlotte Yu-Ting Chang, Pam Tau Lee, and Chau Trinh-Shevrin	175

THIRTEEN: ENGAGED FOR CHANGE: AN INNOVATIVE CBPR STRATEGY TO INTERVENTION DEVELOPMENT	189
Scott D. Rhodes, Lilli Mann, Florence M. Simán, Jorge Alonzo, Aaron T. Vissman, Jennifer Nall, and Amanda E. Tanner	
PART FIVE: PROMISING PRACTICES: ETHICAL ISSUES	
FOURTEEN: CBPR PRINCIPLES AND RESEARCH ETHICS IN INDIAN COUNTRY Myra Parker	207
FIFTEEN: DEMOCRATIZING ETHICAL OVERSIGHT OF RESEARCH THROUGH CBPR Rachel Morello-Frosch, Phil Brown, and Julia Green Brody	215
SIXTEEN: EVERYDAY CHALLENGES IN THE LIFE CYCLE OF CBPR: BROADENING OUR BANDWIDTH ON ETHICS Sarah Flicker, Adrian Guta, and Robb Travers	227
PART SIX: PROMISING PRACTICES TO OUTCOMES: CBPR CAPACITY AND HEALTH	
SEVENTEEN: EVALUATION OF CBPR PARTNERSHIPS AND OUTCOMES: LESSONS AND TOOLS FROM THE RESEARCH FOR IMPROVED HEALTH STUDY John G. Oetzel, Bonnie Duran, Andrew Sussman, Cynthia Pearson, Maya Magarati, Dmitry Khodyakov, and Nina Wallerstein	237
EIGHTEEN: PARTICIPATORY EVALUATION AS A PROCESS OF EMPOWERMENT: EXPERIENCES WITH COMMUNITY HEALTH WORKERS IN THE UNITED STATES AND LATIN AMERICA Noelle Wiggins, Laura Chanchien Parajón, Chris M. Coombe, Aileen Alfonso Duldulao, Leticia Rodriguez Garcia, and Pei-Ru Wang	251
NINETEEN: ACADEMIC POSITIONS FOR FACULTY OF COLOR: COMBINING LIFE CALLING, COMMUNITY SERVICE, AND RESEARCH Lorenda Belone, Derek M. Griffith, and Barbara Baquero	265

PART SEVEN: PROMISING PRACTICES TO OUTCOMES: HEALTHY PUBLIC POLICY

TWENTY: COMMUNITY-BASED PARTICIPATORY RESEARCH FOR HEALTH EQUITY POLICY MAKING	277
Lisa Cacari-Stone, Meredith Minkler, Nicholas Freudenberg, and Makani N. Themba	
TWENTY ONE: IMPROVING FOOD SECURITY AND TOBACCO CONTROL THROUGH POLICY-FOCUSED CBPR: A CASE STUDY OF HEALTHY RETAIL IN SAN FRANCISCO	293
Meredith Minkler, Jennifer Falbe, Susana Hennessey Lavery, Jessica Estrada, and Ryan Thayer	
TWENTY TWO: CRIMINAL JUSTICE REFORM THROUGH PARTICIPATORY ACTION RESEARCH	305
Saneta deVuono-Powell, Meredith Minkler, Evan Bissell, Tamisha Walker, LaVern Vaughn, Eli Moore, and The Morris Justice Project	
TWENTY THREE: GLOBAL HEALTH POLICY: SLUM SETTLEMENT ~ MAPPING IN NAIROBI AND RIO DE JANEIRO Jason Corburn, Ives Rocha, Alexei Dunaway, and Jack Makau	321
APPENDIX 1: CHALLENGING OURSELVES: CRITICAL SELF-REFLECTION ON POWER AND PRIVILEGE Cheryl Hyde	337
APPENDIX 2: GUIDING CBPR PRINCIPLES: FOSTERING EQUITABLE HEALTH CARE FOR LGBTQ+ PEOPLE Miria Kano, Kelley P. Sawyer, and Cathleen E. Willging	345
APPENDIX 3: QUALITY CRITERIA OF THE INTERNATIONAL COLLABORATION FOR PARTICIPATORY HEALTH RESEARCH (ICPHR) Michael T. Wright	351

Stephen Fawcett, Jerry Schultz, Vicki Collie-Akers, Christina Holt,

Jomella Watson-Thompson, and Vincent Francisco

XII Contents

APPENDIX 12: POWER MAPPING: A USEFUL TOOL FOR UNDERSTANDING. THE POLICY ENVIRONMENT AND ITS APPLICATION TO A LOCAL SODA TAX INITIATIVE Jennifer Falbe, Meredith Minkler, Robin Dean, and Jana Cordeiero	405
APPENDIX 13: CBPR INTERACTIVE ROLE-PLAYS: THREE SCENARIOS Michele Polacsek and Gail Dana-Sacco	411
AFTERWORD Budd Hall and Rajesh Tandon	417
INDEX	419